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M E M O R A N D U M

TO: Mike Dupree, Deputy General Counsel
Legal Division

FROM: Robin Gremillion, Director
Disclosure Division *RG*

DATE: December 4, 2015

DOCKET: 2015-1399

RE: NACIO, LANCE MICHAEL
Tier 2.1 Annual Personal Financial Disclosure Statement covering 2014
Shrimp Task Force
Late Fee: \$550
WAIVER REQUEST

Lance Nacio has served on the Shrimp Task Force since April 2009. According to his most recent disclosure statement, he still serves on the task force. Mr. Nacio has filed five disclosure statements and/or amendments with this agency.

On November 11, 2015, Mr. Nacio timely requested a waiver of late fees related to the above referenced disclosure statement. He stated that in the past, an employee for the task force reminded and helped the members filed their disclosure statements. He further stated that running his small business is time consuming and he simply overlooked the deadline to file. The chronology follows:

Tier 2.1 Annual Personal Financial Disclosure Statement covering 2014

- Original PFD due date: May 15, 2015
- NOD-FF Received: July 30, 2015
- PFD due date based on receipt of NOD: August 10, 2015
- PFD filed: August 21, 2015
- Days late from receipt of NOD: 11
- Total days late from initial due date: 98
- Late Fee Order Received: October 30, 2015
- Payment or waiver request due date: November 19, 2015
- Waiver request received: November 11, 2015

Other PFD Late Fees: No

Other CF Late Fees: No

Other Outstanding Disclosures: No

Prior Disclosure Late Fees: No

Reassessed Late Fees: No

July 27, 2015

CERTIFIED MAIL

NO. 70150640000317164733

RETURN RECEIPT REQUESTED

Lance Michael Nacio
1011 Hwy. 55
Montegut, LA 70377

RE: NOTICE OF DELINQUENCY - FAILURE TO FILE
Statement covering 2014
Louisiana Shrimp Task Force

Dear Lance Michael Nacio:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

You have 7 business days from the date of receipt of this Notice to file your Tier 2.1 Personal Financial Disclosure Statement covering 2014, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 2.1 Personal Financial Disclosure Statement (Form 417) is available on the Louisiana Board of Ethics website at www.ethics.state.la.us. If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,



Vivian Head
Compliance Officer

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**TIER 2.1 PERSONAL FINANCIAL DISCLOSURE STATEMENT (ANNUAL)****This Report Covers Calendar Year:** 2015☒ ORIGINAL REPORT☐ AMENDED REPORT

- ☐ I currently hold an office that would require me to file a Tier 3 Personal Financial Disclosure Statement.
As such, I have completed SCHEDULE D.

Name of Filer (print full name): Lance Michael NacioMailing Address: 1011 Hwy 55City, State, Zip: Montegut, La. 70377Name of Board/Commission (no abbreviations): Louisiana Shrimp Task ForceDate of Appointment: April 2009Date Appointment Expires: N/A

Name of Spouse (print full name): _____

Spouse's Occupation: _____

Principal Business Address: _____

City, State, Zip: _____

CHECK ONE:

- ☒ Neither I, nor any member of my immediate family, have a personal or financial interest in any entity, contract, or business, or a personal or financial relationship, that in any way poses a conflict of interest, which would affect the impartial performance of my duties as a member of the board or commission.
☐ I have attached a statement describing any conflicts, and actions I am taking to resolve or avoid the conflicts.

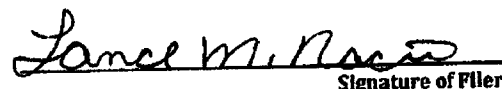
Check all that apply:

- ☒ I have filed my state income tax return for the previous year.
☐ I have filed for an extension of my state income tax return for the previous year.
☒ I have filed my federal income tax return for the previous year.
☐ I have filed for an extension of my federal income tax return for the previous year.

NOTE: La. R.S. 42:1124.2.1 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.

Certification of Accuracy

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.


Signature of Filer

www.ethics.la.gov



STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS

P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.state.la.us

CERTIFIED MAIL

NO. 70151520000294529598

RETURN RECEIPT REQUESTED

October 27, 2015

Lance Michael Nacio
1011 Hwy. 55
Montegut, LA 70377

RE: Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2014
Louisiana Shrimp Task Force
Late Fee Assessment – Report: PFD15009625

Dear Lance Michael Nacio:

Enclosed is an assessment of a late fee pursuant to La. R.S. 42:1124.4. Please submit a check or money order in the amount of \$550 payable to the *Louisiana State Treasurer*, Post Office Box 4368, Baton Rouge, Louisiana 70821.

You have 20 days from the receipt of this letter to submit payment or dispute this assessment. To dispute the assessment, you may elect to:

⟨ **Request a waiver to the *Board of Ethics***

If you choose to request a waiver of the late fee, your request should include specific details, along with supporting documentation, as to why, in your opinion, you have *good cause* for not timely filing the report and why the late fee should be reduced, suspended, or waived. The request should be submitted to the attention of: *Louisiana Board of Ethics*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your request. If you request an appearance, you will be notified of the place and time prior to the meeting.

⟨ **Appeal the assessment directly to the *Ethics Adjudicatory Board***

If you choose to appeal the assessment of the late fee, the proceedings will go before a three judge panel of administrative law judges. The panel will determine if you were required to file the disclosure statement and whether the disclosure statement was filed late. Mitigating factors are not considered. The Ethics Adjudicatory Board does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to *appeal* the assessment, you should submit your request to the attention of: *Executive Secretary*, Ethics Administration, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271.

If you do not pay, dispute, or appeal the assessment of the late fee, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid fines, fees, or penalties may have an adverse effect on your ability to run for public office, as the *Board of Ethics* will object to your candidacy in future elections pursuant to La. R.S. 18:491 and 18:492.

If you have any questions, you may contact Robin Gremillion at 225/219-5600 or 1-800-842-6630.

Sincerely,

Donna Bourgeois

Donna Bourgeois
Administrative Coordinator

**STATE OF LOUISIANA
BOARD OF ETHICS**

**In Re: Tier 2.1 Annual Personal Financial Disclosure Statement 2014
Louisiana Shrimp Task Force
Late Fee Assessment – Report: PFD15009625**

ORDER

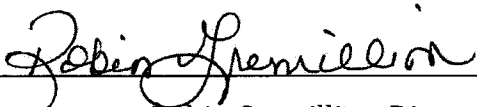
LANCE MICHAEL NACIO was required to file a Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2014 pursuant to La. R.S. 42:1124 et seq.

On July 30, 2015, LANCE MICHAEL NACIO received a Notice of Delinquency for failing to file the disclosure statement. The notice required that the disclosure statement be filed by August 10, 2015 (pursuant to La. R.S. 42:1124.4). LANCE MICHAEL NACIO filed the Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2014 on August 21, 2015 and was 11 days late.

La. R.S. 42:1124.4 authorizes the assessment of a \$50 late fee per day (not to exceed \$1,500) against LANCE MICHAEL NACIO for this late filing.

Accordingly, **IT IS ORDERED** that a late fee of \$550 be assessed against LANCE MICHAEL NACIO for failing to timely file the Tier 2.1 Annual Personal Financial Disclosure Statement for calendar year 2014.

ORDER signed on the 27th day of October 2015 at Baton Rouge, Louisiana.



Robin Gremillion, Director
Disclosure Division

SENDER COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Lance Michael Nacio
1011 Hwy. 55
Montegut, LA 70377



9598



9590 9401 0012 5205 4000 48

2. Article Number (Transfer from service label)

7015 1520 0002 9452 9598

COMPLETE THIS SECTION ON DELIVERY

A. Signature

x *Lance Nacio*

☐ Agent

☐ Addressee

B. Received by (Printed Name)

Lance Nacio

C. Date of Delivery

10/30/15

D. Is delivery address different from item 1? ☐ Yes

If YES, enter delivery address below: ☐ No

3. Service Type

DB

☐ Adult Signature

☐ Adult Signature Restricted Delivery

☒ Certified Mail®

☐ Certified Mail Restricted Delivery

☐ Collect on Delivery

☐ Collect on Delivery Restricted Delivery

stricted Delivery

☐ Priority Mail Express®

☐ Registered Mail™

☐ Registered Mail Restricted Delivery

☒ Return Receipt for Merchandise

☐ Signature Confirmation™

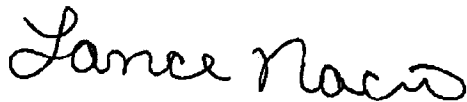
☐ Signature Confirmation Restricted Delivery

Anna Marie Seafood, LLC

1011 Hwy 55 Montegut, LA 70377 (985) 209-2962

Louisiana Board of Ethics

I'm writing this letter asking for a waiver. I run a small business that operates two shrimp boats and employs eight people. It gets very hectic at times keeping everything going. I have been on the Shrimp Task Force for several years and have volunteered my time and never once have I received any compensation for any expenses that I incurred even though I can submit a request for millage. I feel a civil duty to serve on the Task Force and we have made great strides for the shrimp industry. For the last several years that I served there has always been someone that made sure that we submit our financial disclosure statement, but she left her position this year and I overlooked the deadline for submission. It was submitted a few days late. I'm asking for your consideration of waiving this fee. I feel that if I have to pay this that I will choose no longer to serve on the Task Force.



Lance Nacio

2015 NOV 12 AM 8:32
RECEIVED

Owner: Lance Nacio

Website: annamarieshrimp.comEmail: annamarieseafood@charter.net

bettyz

StroomCenter Fax
11-11-2015 /07:03

XEROX®